

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-08-27  
**Investment Auto Submission Date:** 2012-02-28  
**Date of Last Investment Detail Update:** 2012-02-28  
**Date of Last Exhibit 300A Update:** 2012-04-27  
**Date of Last Revision:** 2012-08-27

**Agency:** 016 - Social Security Administration      **Bureau:** 00 - Agency-Wide Activity

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** Title II

**2. Unique Investment Identifier (UII):** 016-000002045

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Social Security Administration (SSA) Title II investment provides for a single system for processing all Title II initial claims and client related post-entitlement actions in an online and interactive environment. This results in a greater capability to process work at the customer's first point of contact with the agency. Additionally, Title II provides online user access to more comprehensive customer information and an automated system that is easier and less costly to maintain and modify. The benefits of more efficient systems processing are a reduction in internal work hand-offs and fewer calls and office visits from beneficiaries. Increasing automation eliminates labor-intensive manual processes and allows managers and analysts to focus their attention on more value-added functions such as front-line customer service. As part of the Title II investment, older, more costly applications are being removed and replaced with more efficient processes within the Title II system. Consolidating systems will lead to less costly maintenance and a reduction in risk. To stay current, the Title II system undergoes modifications necessary due to new legislation, to correct existing production problems, to further integrate with other agency systems, and to leverage new technology. The Title II investment aligns with the strategic objectives in SSA's Annual Performance Plan (APP) through its efforts to improve service through technology. It also provides significant social net benefits to the general public by minimizing the time spent resolving questions and issues regarding claims.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

The Title II program supports the SSA's Agency Strategic Plan (ASP) in several areas. Specifically, the Title II program supports the Strategic Goal of Preserving the Public's Trust in SSA's Programs, through the ongoing improvement to the accuracy of payments to beneficiaries. The Title II system undergoes extensive stewardship reviews to ensure that beneficiaries are receiving the benefits they are due and to build public confidence. The Title II team monitors and adapts to changes in legislation to ensure that the appropriate adjustments are made in the system thus leading to continued accuracy of payments. Specifically, the Title II program supports two performance measures that are documented in the agency APP. The Title II system enables SSA to process more claims receipts up to the budgeted level and improve the accuracy of payments for Old-Age, Survivors, and Disability Insurance beneficiaries. If the investment is not fully funded, there will be significant impact to the success of the agency's mission and to the beneficiaries of Title II section of the Social Security Act. Title II of the Social Security Act provides for Federal Old-Age, Survivors, and Disability Insurance Benefits, paying benefits to retired or disabled workers, and their families. The modernized Title II system allows for less office visits and more efficient service to beneficiaries. Additionally, if the investment is not fully funded, there will be significant delays in processing of claims, inaccuracy in processing claims, and beneficiaries will be paid incorrectly. Finally, as enhancements are made to the Title II system, SSA's automation rate increases and the inaccurate payments are reduced. Due to the large processing volumes of Title II, any small increase in the automation rate and accuracy of payments will make a significant gain in productivity and payment accuracy.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

In Fiscal Year (FY) 2011, the Title II program achieved greater efficiency by reducing the runtime from over 4 hours to less than 1 hour for processing larger volumes of Medicare Part C & D transactions. The Title II program implemented the Dual Entitlement (DE) Segmentation in order to segment the current Title II System DE job into 10 DE jobs that will run concurrently. The modifications suppressed duplicate storage initialization. The Title II team built a common service routine to replace the execution of resource intensive stored procedures. Title II achieved modernization by removing data conversion logic from the Structured Query Language (SQL) and placing it in Common Business Oriented Language (COBOL) logic. Planning activities began for the elimination of Automated Job Stream 3 (AJS3) effort which will incorporate AJS3 functionality into the Title II System. Throughout the year, Title II continually performed maintenance efforts on the Title II System.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

The Title II program is planning to focus its resources and efforts on several key initiatives in FY 2012 and FY2013. The AJS3 effort is planned for implementation in FY2012. The purpose of this project is to incorporate the functionality currently performed by the AJS3 application

into the Title II System. There is a key planning and analysis (P&A) effort that will take place in FY2012, AJS1. The AJS1 project will eliminate AJS1 and move the functionality into the Title II System. There are several benefits to this project that include increasing the processing rate, decreasing the overall software inventory, and increasing the use of common business function software to provide consistent processing results. The implementation effort is planned to begin in FY2013. In FY2012, the Title II program will make enhancements to the Benefit Rate Increase (BRI) system that processes the annual benefit rate adjustment resulting from changes in cost of living increases. These enhancements will provide several benefits including reducing the cost of BRI, reducing risk of applying inaccurate rates, and reducing the risk associated with late receipt of rates. The Whole File Certification (WFC) effort is planned for FY2012. The WFC will allow SSA to simplify Title II application logic and reduce the number of data exchanges required to support Treasury interfaces. Additionally, WFC will simplify payment delivery, simplify Treasury processing, eliminate hold checks, and simplify the conversion to new Data Base Technologies. Through FY2012 and FY2013, ongoing maintenance activities will continue to be performed by addressing opportunities identified and tracked via the Change, Asset, and Problem Reporting System (CAPRS).

- 5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-08-30

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$161.0	\$1.3	\$0.4	\$1.3
DME (Including Planning) Govt. FTEs:	\$18.6	\$5.2	\$9.6	\$9.6
Sub-Total DME (Including Govt. FTE):	\$179.6	\$6.5	\$10.0	\$10.9
O & M Costs:	\$28.0	\$1.4	\$0.2	\$0.9
O & M Govt. FTEs:	\$196.2	\$5.4	\$5.6	\$6.4
Sub-Total O & M Costs (Including Govt. FTE):	\$224.2	\$6.8	\$5.8	\$7.3
Total Cost (Including Govt. FTE):	\$403.8	\$13.3	\$15.8	\$18.2
Total Govt. FTE costs:	\$214.8	\$10.6	\$15.2	\$16.0
# of FTE rep by costs:	2,100	85	120	120
Total change from prior year final President's Budget (\$)		\$13.1	\$4.3	
Total change from prior year final President's Budget (%)		7.00%	37.10%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

Title II FY11 funds increased due to the buy down of FY12 ITSSC contractor support, and increases in the Automated Job Stream Title II Modernization effort, which will continue into FY12.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	2800	<a href="#">SS001060082</a>									
Awarded	2800	<a href="#">SS001060107</a>									
Awarded	2800	<a href="#">SS001060108</a>									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-27

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
T20601	FY11 Title II	The Social Security Administration (SSA) Title II investment provides for a single system for processing all Title II initial claims and client related post-entitlement actions in an online and interactive environment.			
T20701	FY12 - Title II PE Modernization - Eliminate AJS3	This project will eliminate Automated Job Stream 3 (AJS3) and incorporate its functionality into Title II.			
T20702	FY12 - Title II Modernization - P & A	Conduct Planning and Analysis activities as agreed upon with Operations and Systems.			
T20703	FY12 - Title II Systems - Maintenance	This project will provide the maintenance support needed for releases in FY12.			
T20704	FY12 - APM - Elimination of AJS1 & FDOPS - P&A	This project will fold the Automated Job Stream 1 (AJS1) Functionality into Title II Retirement Survivor Disability Insurance System.			
T20705	FY12 - Whole File Certification	WFC will change how the Title II			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
	(WFC) - Cycle 1	3rd of the month payment file is delivered to Treasury. This will help to lead in the modernization efforts for the back-end systems support.			
T20706	FY12 Management Overhead O/H	Management and overhead work years are based on the total number of FTEs and Contractors allocated to this program for project-related work.			
T20707	FY12 Non-DCS Support	GS-2210 IT Specialists supporting major IT initiatives that work in various agency-level Offices outside the Office of Systems.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
T20601	FY11 Title II							
T20701	FY12 - Title II PE Modernization - Eliminate AJS3							
T20702	FY12 - Title II Modernization - P & A							
T20703	FY12 - Title II Systems - Maintenance							
T20704	FY12 - APM - Elimination of AJS1 & FDOPS - P&A							
T20705	FY12 - Whole File Certification (WFC) - Cycle 1							



## Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
T20706	FY12 Management Overhead O/H							
T20707	FY12 Non-DCS Support							

## Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
T20601	FY11 Title II	The Social Security Administration (SSA) Title II investment provides for a single system for processing all Title II initial claims and client related post-entitlement actions in an online and interactive environment.	2011-09-30	2011-09-30	2011-09-30	91	0	0.00%
T20702	FY12 - T2 Modernization - P & A Phase 1	Conduct Phase I Planning and Analysis activities as agreed upon with Operations and Systems.	2012-03-30	2012-03-30	2012-03-30	181	0	0.00%
T20704	FY12 - APM - Elim of AJS1 & FDOPS - P&A Phase 1	Phase I activities to fold the Automated Job Stream 1 (AJS1) functionality into Title II Retirement Survivor Disability Insurance System.	2012-03-30	2012-03-30	2012-03-30	181	0	0.00%
T20705	FY12 WFC - P&A and Requirements	Perform P&A and Requirement activities to support Whole File Certification (WFC).	2012-04-20	2012-07-13		179	-133	-74.30%
T20701	FY12 AJS3 - DB and Validation Support	Provide DBA database support and	2012-05-19	2012-09-01		123	-105	-85.37%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		validation environment/executio n support for the elimination of Automated Job Stream 3 (AJS3) and incorporate its functionality into Title II.						
T20701	FY12 AJS3 - MI and Audit Trail	Perform Management Information and Audit Trail enhancements to support the elimination of Automated Job Stream 3 (AJS3) and incorporate its functionality into Title II.	2012-05-19	2012-09-01		103	-105	-101.94%
T20701	FY12 AJS3 - Internal Data Exchange	Establish internal data exchanges to support the elimination of Automated Job Stream 3(AJS3) and incorporate its functionality into Title II.	2012-05-24	2012-08-01		171	-99	-57.89%
T20701	FY12 AJS3 - T2 Modernization	Requirement and Construction activities to eliminate Automated Job Stream 3 (AJS3) and incorporate its functionality into Title II. Also, complete post release activities. This will help to lead in the modernization efforts for the back-end systems support.	2012-07-31	2012-07-31		304	-31	-10.20%
T20705	FY12 WFC - Design & Development	Perform Design and Development	2012-08-10	2012-08-10		130	-21	-16.15%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		activities for Whole File Certification (WFC).						
T20702	FY12 - T2 Modernization - P & A Phase 2	Conduct Phase II Planning and Analysis activities as agreed upon with Operations and Systems.	2012-09-30	2012-09-30		182	0	0.00%
T20704	FY12 - APM - Elim of AJS1 & FDOPS - P&A Phase 2	Phase II activities to fold the Automated Job Stream 1 (AJS1) functionality into Title II Retirement Survivor Disability Insurance System.	2012-09-30	2012-09-30		182	0	0.00%
T20705	FY12 WFC - DB and Validation Support	This will provide DBA database support and validation environment/execution support for Whole File Certification (WFC).	2012-09-30	2012-09-30		181	0	0.00%

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percent of retirement, survivors, and health insurance claims receipts completed up to the budgeted level	Percent	Process and Activities - Quality	Over target	101.000000	100.000000	106.000000	100.000000	Semi-Annual
Achieve the target percentage of retirement claims filed online	Percent	Process and Activities - Productivity	Over target	37.000000	44.000000	39.700000	50.000000	Semi-Annual
Reliability and availability: percent that critical systems are available.	Percent	Technology - Reliability and Availability	Over target	99.230000	99.000000		99.000000	Quarterly
Maintain percent of Old-Age, Survivors, and Disability Insurance payments free of underpayment error	Percent	Customer Results - Customer Benefit	Over target	99.900000	99.800000		99.800000	Semi-Annual
Maintain percent of Old-Age, Survivors, and Disability Insurance payments free of overpayment error	Percent	Customer Results - Customer Benefit	Over target	99.600000	99.800000		99.800000	Semi-Annual
Availability of Online Applications	Percent	Technology - Reliability and Availability	Over target	99.600000	99.000000	99.997000	99.000000	Monthly